
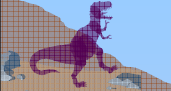


## Chapter 13: I/O Systems

- I/O Hardware
- Application I/O Interface
- Kernel I/O Subsystem
- Transforming I/O Requests to Hardware Operations
- Streams
- Performance




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## I/O Hardware

- Incredible variety of I/O devices
- Common concepts
  - Port
  - Bus (daisy chain or shared direct access)
  - Controller (host adapter)
- I/O instructions control devices
- Devices have addresses, used by
  - Direct I/O instructions
  - Memory-mapped I/O



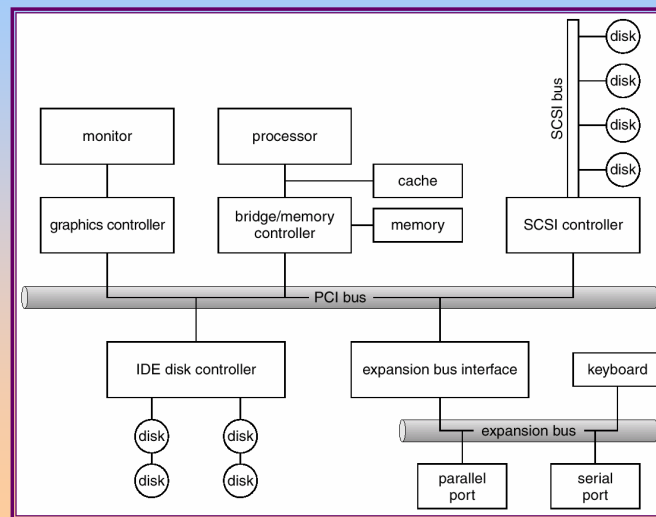
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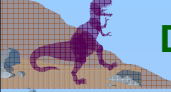
## I/O Hardware

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EECS 211 NOTE:  
Typical I/O port consists of:  
- status register  
- control register  
- data-in register  
- data-out register


## A Typical PC Bus Structure



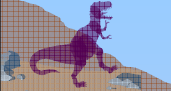


## Device I/O Port Locations on PCs (partial)

I/O address range (hexadecimal)	device
000-00F	DMA controller
020-021	interrupt controller
040-043	timer
200-20F	game controller
2F8-2FF	serial port (secondary)
320-32F	hard-disk controller
378-37F	parallel port
3D0-3DF	graphics controller
3F0-3F7	diskette-drive controller
3F8-3FF	serial port (primary)




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## Polling

- Determines state of device
  - ☞ command-ready
  - ☞ busy
  - ☞ Error
- Busy-wait cycle to wait for I/O from device

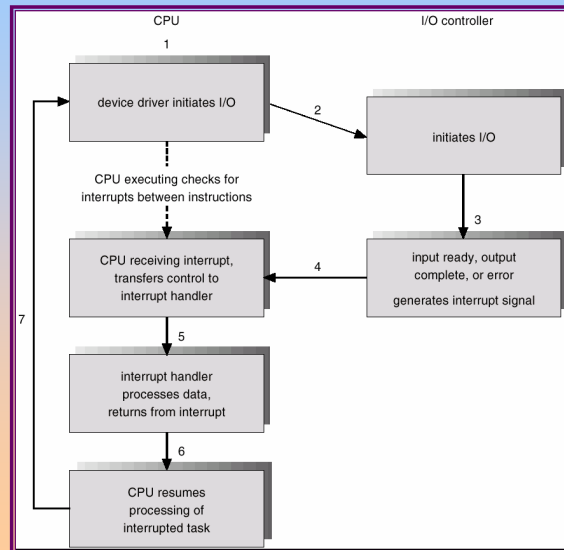


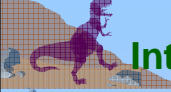
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# Interrupts

- CPU Interrupt request line triggered by I/O device
- Interrupt handler receives interrupts
- Maskable to ignore or delay some interrupts
- Interrupt vector to dispatch interrupt to correct handler
  - Based on priority
  - Some unmaskable
- Interrupt mechanism also used for exceptions


# Interrupt-Driven I/O Cycle



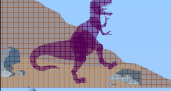


## Intel Pentium Processor Event-Vector Table

vector number	description
0	divide error
1	debug exception
2	null interrupt
3	breakpoint
4	INTO-detected overflow
5	bound range exception
6	invalid opcode
7	device not available
8	double fault
9	coprocessor segment overrun (reserved)
10	invalid task state segment
11	segment not present
12	stack fault
13	general protection
14	page fault
15	(Intel reserved, do not use)
16	floating-point error
17	alignment check
18	machine check
19D31	(Intel reserved, do not use)
32D255	maskable interrupts




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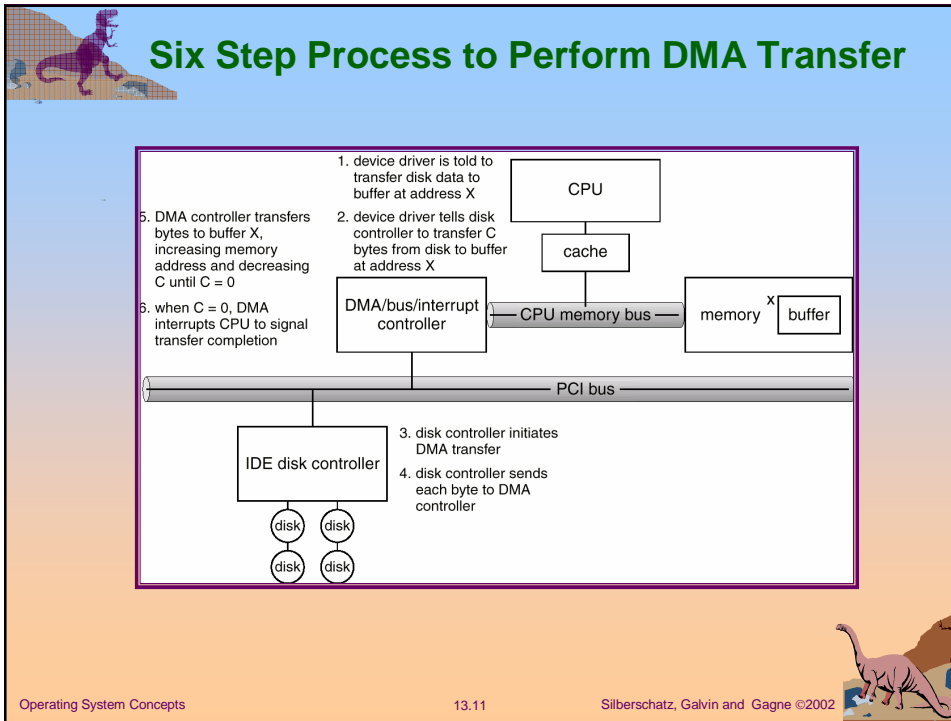


## Direct Memory Access

- Used to avoid programmed I/O for large data movement
- Requires DMA controller
- Bypasses CPU to transfer data directly between I/O device and memory

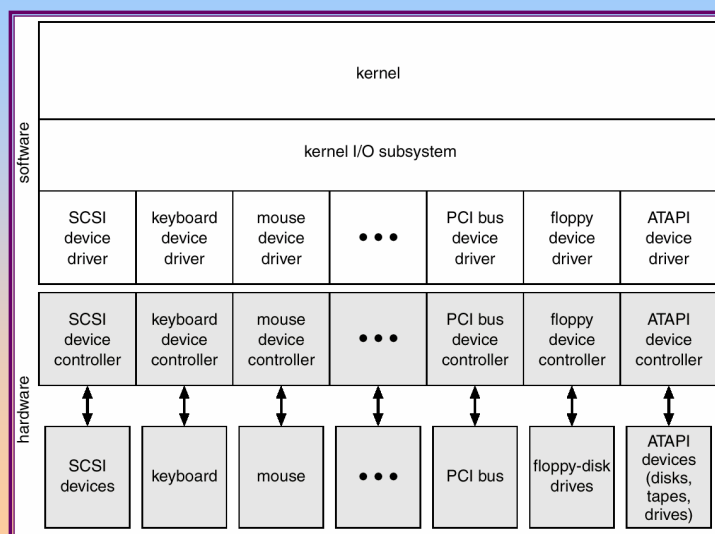


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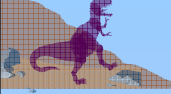
- ## Application I/O Interface
- I/O system calls encapsulate device behaviors in generic classes
  - Device-driver layer hides differences among I/O controllers from kernel
  - Devices vary in many dimensions
    - Character-stream or block
    - Sequential or random-access
    - Sharable or dedicated
    - Speed of operation
    - read-write, read only, or write only
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## A Kernel I/O Structure




## Characteristics of I/O Devices

aspect	variation	example
data-transfer mode	character block	terminal disk
access method	sequential random	modem CD-ROM
transfer schedule	synchronous asynchronous	tape keyboard
sharing	dedicated sharable	tape keyboard
device speed	latency seek time transfer rate delay between operations	
I/O direction	read only write only readWrite	CD-ROM graphics controller disk

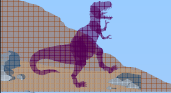


## Block and Character Devices

- Block devices include disk drives
  - Commands include read, write, seek
  - Raw I/O or file-system access
  - Memory-mapped file access possible
- Character devices include keyboards, mice, serial ports
  - Commands include `get`, `put`
  - Libraries layered on top allow line editing




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## Network Devices

- Varying enough from block and character to have own interface
- Unix and Windows NT/9/2000 include socket interface
  - Separates network protocol from network operation
  - Includes `select` functionality
- Approaches vary widely (pipes, FIFOs, streams, queues, mailboxes)



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## Clocks and Timers

- Provide current time, elapsed time, timer
- If programmable interval time used for timings, periodic interrupts
- `ioctl` (on UNIX) covers odd aspects of I/O such as clocks and timers



## Blocking and Nonblocking I/O

- Blocking - process suspended until I/O completed
  - Easy to use and understand
  - Insufficient for some needs
- Nonblocking - I/O call returns as much as available
  - User interface, data copy (buffered I/O)
  - Implemented via multi-threading
  - Returns quickly with count of bytes read or written
- Asynchronous - process runs while I/O executes
  - Difficult to use
  - I/O subsystem signals process when I/O completed

## Kernel I/O Subsystem

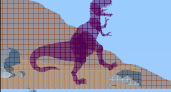
- Scheduling
  - Some I/O request ordering via per-device queue
  - Some OSs try fairness
  
- Buffering - store data in memory while transferring between devices
  - To cope with device speed mismatch
  - To cope with device transfer size mismatch
  - To maintain "copy semantics"

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## Sun Enterprise 6000 Device-Transfer Rates


Device	Transfer Rate (approximate)
gigaplane bus	> 1,000,000
bus	> 1,000,000
SBUS	~100,000
SCSI bus	~50,000
fast ethernet	~10,000
hard disk	~5,000
ethernet	~1,000
laser printer	~500
modem	~100
mouse	~0.1
keyboard	~0.01

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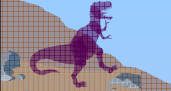


## Kernel I/O Subsystem

- Caching - fast memory holding copy of data
  - Always just a copy
  - Key to performance
- Spooling - hold output for a device
  - If device can serve only one request at a time
  - i.e., Printing
- Device reservation - provides exclusive access to a device
  - System calls for allocation and deallocation
  - Watch out for deadlock




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## Error Handling

- OS can recover from disk read, device unavailable, transient write failures
- Most return an error number or code when I/O request fails
- System error logs hold problem reports



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## Kernel Data Structures

- Kernel keeps state info for I/O components, including open file tables, network connections, character device state
- Many, many complex data structures to track buffers, memory allocation, “dirty” blocks
- Some use object-oriented methods and message passing to implement I/O

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## UNIX I/O Kernel Structure

```

    graph LR
      subgraph User_Process_Memory [user-process memory]
        FD[file descriptor]
        PPT[per-process open-file table]
        FD --> PPT
      end

      subgraph Kernel_Memory [kernel memory]
        subgraph SWOFT [system-wide open-file table]
            subgraph FSR [file-system record]
                IP[inode pointer]
                RWF[pointer to read and write functions]
                SF[pointer to select function]
                IOCTF[pointer to ioctl function]
                CLF[pointer to close function]
            end
            NRT[networking (socket) record]
            NRT --> NI[pointer to network info]
            RWNF[pointer to read and write functions]
            SFN[pointer to select function]
            IOCTFN[pointer to ioctl function]
            CLFN[pointer to close function]
        end

        subgraph AIT [active-inode table]
            AIT1[ ]
            AIT2[ ]
            AIT3[ ]
        end

        subgraph NIT [network-information table]
            NIT1[ ]
            NIT2[ ]
            NIT3[ ]
        end

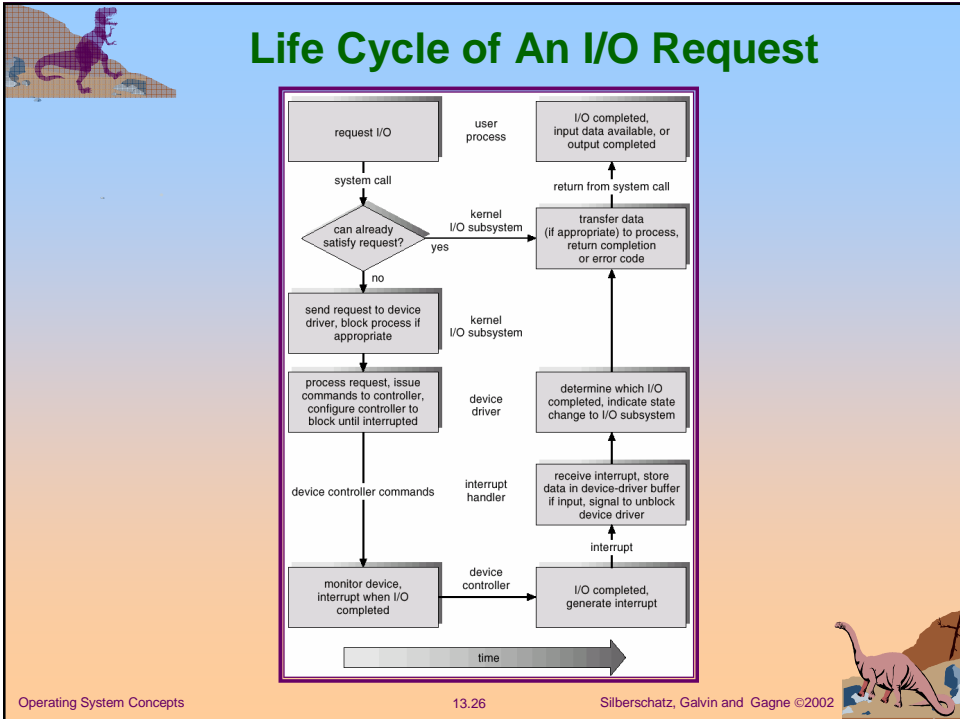
        PPT --> SWOFT
        FSR --> AIT
        NRT --> NIT
      end
  
```

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# I/O Requests to Hardware Operations

- Consider reading a file from disk for a process:
  - Determine device holding file
  - Translate name to device representation
  - Physically read data from disk into buffer
  - Make data available to requesting process
  - Return control to process

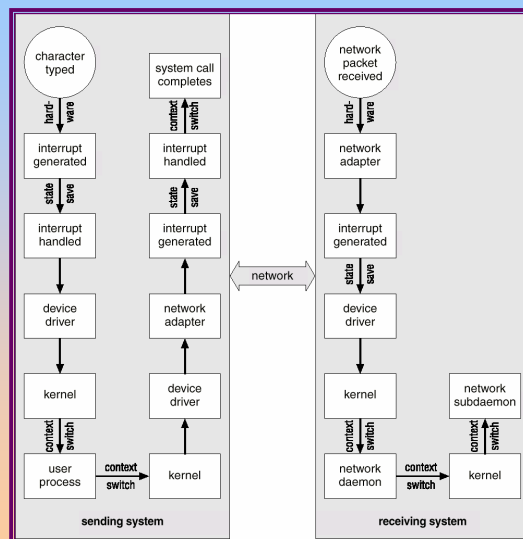
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# Performance

- I/O a major factor in system performance:
  - Demands CPU to execute device driver, kernel I/O code
  - Context switches due to interrupts
  - Data copying
  - Network traffic especially stressful

# Intercomputer Communications



## Improving Performance

- Reduce number of context switches
- Reduce data copying
- Reduce interrupts by using large transfers, smart controllers, polling
- Use DMA
- Balance CPU, memory, bus, and I/O performance for highest throughput

## Device-Functionality Progression

